

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsuyoshi KOMAKI, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR MAKING OPTICAL INFORMATION MEDIUM AND OPTICAL INFORMATION MEDIUM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier

Registration No. 25,599



22850

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CERTIFICATION

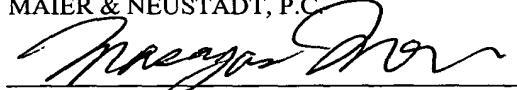
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241151US2CONT		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Tsuyoshi KOMAKI, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	59-206081	11/21/1984	Japan (w/ English abstract)		xx	
	AP	04-017139	01/21/1992	Japan (w/ English abstract)		xx	
	AQ	08-036790	02/06/1996	Japan (w/ English abstract)		xx	
	AR	11-073691	03/16/1999	Japan (w/ English abstract)		xx	
	AS	04-283434	10/08/1992	Japan (w/ English abstract)		xx	
	AT	11-203724	07/30/1999	Japan (w/ English abstract)		xx	
	AU	07-230632	08/29/1995	Japan (w/ English abstract)		xx	
	AV	11-086355	03/30/1999	Japan (w/ English abstract)		xx	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Japanese Journal of Applied Physics Vol. 39(2000) pp. 775-778 Author: Michel M. J. Decre and Piet H. G. M. Vromans Title: "Cover Layer Technology for the High-Numerical-Aperture Digital Video Recording System"					
	AX	Technical Digest ISOM 2001 (2001) ppl 316-317 Author: Tsuyoshi Komaki, et al. Title: "Spin-coating technology of the cover layer for the DVR-Blue disc"					
	AY						
	AZ					<input checked="" type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
<p>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
241151US2CONTSERIAL NO.
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LIST OF REFERENCES CITED BY APPLICANT

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Tsuyoshi KOMAKI, et al.FILING DATE
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GROUP

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	AA						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	11-086356	03/30/1999	Japan (w/ English abstract)		xx
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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